

A-70056/ENB

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Attention: BOX MISSING P. O. BOX 1075, Assistant Commissioner for Patents, Washington, DC 20231 on JUNE 7, 2001

Signed: 

Laura Lee Mosier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
JERMAN, et al.

Serial No. 09/728,212

Filed: November 29, 2000

For: Tunable Laser with Microactuator

Examiner: Not yet assigned

Group Art Unit: 2881

Date: June 7, 2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS  
TRANSMITTAL

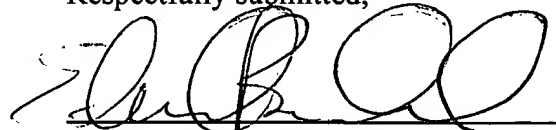
**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

- [X] Carbon copy of Form PTO-1533, Notice to File Corrected Application Papers dated March 22, 2001;
- [X] Carbon copy of Form PTO-????, Notice of incomplete Reply (nonprovisional) dated May 23, 2001;
- [X] substitute drawings in place of the informal drawings filed with this application and in compliance with 37 C.F.R. §1.84(g); consisting of 18 sheets of formal drawings, Figs. 1 through 27;
- [X] and our prepaid return postcard.

It is believed that no fee is due in connection with this communication. The Commissioner is hereby authorized to charge any fees determined to be due to Deposit Account 06-1300 (Order No. A-70056/ENB).

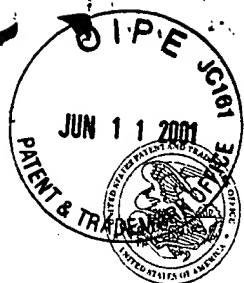
Respectfully submitted,



Edward N. Bachand  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,212	11/29/2000	John H. Jerman	A-70056/ENB

CONFIRMATION NO. 4420

## FORMALITIES LETTER



\*OC000000005890859\*

EDWARD N. BACHAND  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187File A-70056 Atty ENBDue Date 5-22-2001

Date Mailed: 03/22/2001

Type Missing Parts Refs -

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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***A copy of this notice MUST be returned with the reply.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,212	03/12/2001	John H. Jerman	A-70056/ENB

CONFIRMATION NO. 4420

## FORMALITIES LETTER



\*OC000000006105994\*

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 05/23/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

*let*  
\_\_\_\_\_  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE